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**Set-theoretical solutions to the Yang-Baxter Relation
from factorization of matrix polynomials and θ -functions**

Alexander Odesskii

Introduction

The Yang-Baxter relation plays a central role in two-dimensional Quantum Field Theory. This relation involves a linear operator $R : V \otimes V \rightarrow V \otimes V$, where V is a vector space, and has the form

$$R^{12}R^{13}R^{23} = R^{23}R^{13}R^{12}$$

in $End(V \otimes V \otimes V)$, where R^{ij} means R acting in the i -th and j -th components. In the paper [12] V. Drinfeld suggested to study set-theoretical solutions of this relation, i.e. solutions given by a map $R : X \times X \rightarrow X \times X$, where X is a given set. Moreover, if X is an algebraic manifold, then R may be a rational map. The general theory of set-theoretical solutions to the quantum Yang-Baxter relation was developed in [11, 13]. Various examples were constructed in [10, 11, 13]. In this paper we construct such solutions from decompositions of matrix polynomials and θ -functions. These solutions arise from the decompositions "in different order". We also construct a "local action of the symmetric group" in these cases, generalizations of the action of the symmetric group SN on X^N given by the set-theoretical solution. The structure of the paper is as follows. In §1 we give basic definitions. In §2 we introduce a set-theoretical solution arising from the factorization of matrix polynomials. In §3 we introduce a set-theoretical solution arising from matrix θ -functions.

For a given set-theoretical solution of the quantum Yang-Baxter relation one can define a twisted Yang-Baxter relation with the set of spectral parameters X (see [14] and (3) of this paper). The corresponding twisted R -matrix describes a scattering of two "particles" such that the spectral parameters change after scattering according to a given set-theoretical solution. Moreover, one can define a generalized star-triangle relation for a given local action of the symmetric group (see [14]). The examples of twisted R -matrices as well as the solutions of the generalized star-triangle relation were found in [4] as intertwiners of cyclic representations and their tensor products of the algebra of monodromy matrices of the six-vertex model at roots of unity [3]. These solutions are natural generalizations of the one from the chiral Potts model [1,2,3]. Other examples were found in [9] for the relativistic Toda chain. One can obtain various solutions of the twisted Yang-Baxter and star-triangle relations by calculating the intertwiners of the representations of the algebras of monodromy matrices at roots of unity for other trigonometric and elliptic R -matrices.

§1. Basic definitions

Let U be a complex manifold, $\mu : U \times U \rightarrow U \times U$ be a birational automorphism of $U \times U$. We will use a notation: $\mu(u, v) = (\varphi(u, v), \psi(u, v))$ where $u, v \in U$. Here φ and ψ are meromorphic functions from $U \times U$ to U .

Let us introduce the following birational automorphisms of $U \times U \times U$: $\sigma_1 = \mu \times \text{id}$ and $\sigma_2 = \text{id} \times \mu$. We have: $\sigma_1(u, v, w) = (\varphi(u, v), \psi(u, v), w)$ and $\sigma_2(u, v, w) = (u, \varphi(v, w), \psi(v, w))$.

Definition We call a map μ a twisted transposition if the automorphisms σ_1 and σ_2 satisfy the following relations:

$$\sigma_1^2 = \sigma_2^2 = \text{id}, \quad \sigma_1 \sigma_2 \sigma_1 = \sigma_2 \sigma_1 \sigma_2 \quad (1)$$

If μ is a twisted transposition, then for each $N \in \mathbb{N}$ we have a birational action of the symmetric group S_N on the manifold U^N such that the transposition $(i, i+1)$ acts by an automorphism $\sigma_i = \text{id}^{i-1} \times \mu \times \text{id}^{N-i-1}$. So we have $\sigma_i(u_1, \dots, u_N) = (u_1, \dots, \varphi(u_i, u_{i+1}), \psi(u_i, u_{i+1}), \dots, u_N)$. It is clear that the relations (1) are equivalent to the defining relations in the group S_N : $\sigma_i^2 = e, \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}, \sigma_i \sigma_j = \sigma_j \sigma_i$ for $|i - j| > 1$.

It is easy to check that the relations (1) are equivalent to the following functional equations for φ and ψ :

$$\varphi(\varphi(u, v), \psi(u, v)) = u, \quad \psi(\varphi(u, v), \psi(u, v)) = v$$

$$\varphi(u, \varphi(v, w)) = \varphi(\varphi(u, v), \varphi(\psi(u, v), w))$$

$$\varphi(\psi(u, \varphi(v, w)), \psi(v, w)) = \psi(\varphi(u, v), \varphi(\psi(u, v), w)) \quad (2)$$

$$\psi(\psi(u, v), w) = \psi(\psi(u, \varphi(v, w)), \psi(v, w))$$

Remarks 1. From (2) it follows that for each N the functions $\varphi(u_1, \varphi(u_2, \dots, \varphi(u_N, w) \dots))$ and $\psi(\dots(\psi(w, u_1), u_2) \dots, u_N)$ are invariant with respect to the action of the group S_N on the variables u_1, \dots, u_N .

2. Let $\sigma : U \times U \rightarrow U \times U$ be the map given by $\sigma(u, v) = (v, u)$. Then $\sigma\mu$ is a set-theoretical solution to the quantum Yang-Baxter relation.

3. Informally one can consider $\sigma\mu$ as an infinite dimensional R -matrix in the space of functions. Namely, if we consider the space of meromorphic functions $\{f : U \times U \rightarrow \mathbb{C}\}$ as an "extended tensor square" of the space of meromorphic functions $\{f : U \rightarrow \mathbb{C}\}$, then the linear operator $R_{\sigma\mu} : f \rightarrow f\sigma\mu$ (that is $R_{\sigma\mu}f(u, v) = f(\sigma(\mu(u, v)))$) satisfies the usual Yang-Baxter relation.

Examples 1. Let $q, q^{-1} : U \rightarrow U$ be birational automorphisms such that $qq^{-1} = q^{-1}q = \text{id}$. Then

$$\mu(u, v) = (q(v), q^{-1}(u))$$

is a twisted transposition.

2. Let $U = \mathbb{C}$, then the following formula gives a twisted transposition:

$$\mu(u, v) = \left(1 - u + uv, \frac{uv}{1 - u + uv}\right)$$

3. Let U be a finite dimensional associative algebra with a unity $1 \in U$, for example $U = \text{Mat}_m$. Then the following formula gives a twisted transposition:

$$\mu(u, v) = (1 - u + uv, (1 - u + uv)^{-1}uv)$$

Let V be a n -dimensional vector space. For each $u \in U$ we denote by $V(u)$ a vector space canonically isomorphic to V . Let R be a meromorphic function from $U \times U$ to $\text{End}(V \otimes V)$. We will consider $R(u, v)$ as a linear operator

$$R(u, v) : V(u) \otimes V(v) \rightarrow V(\varphi(u, v)) \otimes V(\psi(u, v))$$

Definition We call R a twisted R -matrix (with respect to the twisted transposition μ) if it satisfies the following properties:

1. The composition

$$V(u) \otimes V(v) \rightarrow V(\varphi(u, v)) \otimes V(\psi(u, v)) \rightarrow V(u) \otimes V(v)$$

is equal to the identity, that is $R(\varphi(u, v), \psi(u, v))R(u, v) = 1$.

2. The following diagram is commutative:

$$\begin{array}{ccc} V(\varphi(u, v)) \otimes V(\psi(u, v)) \otimes V(w) & \xrightarrow{1 \otimes R(\psi(u, v), w)} & V(\varphi(u, v)) \otimes V(\varphi(\psi(u, v), w)) \otimes V(\psi(\psi(u, v), w)) \\ \uparrow R(u, v) \otimes 1 & & \downarrow R(\varphi(u, v), \varphi(\psi(u, v), w)) \otimes 1 \\ V(u) \otimes V(v) \otimes V(w) & & \tilde{V} \\ \downarrow 1 \otimes R(v, w) & & \uparrow 1 \otimes R(\psi(u, \varphi(v, w)), \psi(v, w)) \\ V(u) \otimes V(\varphi(v, w)) \otimes V(\psi(v, w)) & \xrightarrow{R(u, \varphi(v, w)) \otimes 1} & V(\varphi(u, \varphi(v, w))) \otimes V(\psi(u, \varphi(v, w))) \otimes V(\psi(v, w)) \end{array}$$

Here $\tilde{V} = V(\varphi(u, \varphi(v, w))) \otimes V(\psi(\varphi(u, v), \varphi(\psi(u, v), w))) \otimes V(\psi(\psi(u, v), w))$.

In other words,

$$\begin{aligned} R^{12}(\varphi(u, v), \varphi(\psi(u, v), w))R^{23}(\psi(u, v), w)R^{12}(u, v) = \\ R^{23}(\psi(u, \varphi(v, w)), \psi(v, w))R^{12}(u, \varphi(v, w))R^{23}(v, w) \end{aligned} \quad (3)$$

Here $R^{12} = R \otimes 1$ and $R^{23} = 1 \otimes R$ are linear operators in $V \otimes V \otimes V$.

We call (3) a twisted Yang-Baxter relation.

Let $\{x_i, i = 1 \dots, n\}$ be a basis of the linear space V , $\{x_i(u)\}$ be the corresponding basis of the linear space $V(u)$. It is clear that the following two linear operators are twisted R -matrices for each μ :

$$x_i(u) \otimes x_j(v) \rightarrow x_i(\varphi(u, v)) \otimes x_j(\psi(u, v))$$

$$x_i(u) \otimes x_j(v) \rightarrow x_j(\varphi(u, v)) \otimes x_i(\psi(u, v))$$

§2. Set-theoretical solution from factorization of matrix polynomials

For the general theory of matrix polynomials and factorizations see [7]. For our purposes we state results, which may be well known to the experts.

We denote by $S(a)$ the set of eigenvalues of a matrix $a \in \text{Mat}_m$. More generally, we denote by $S(a_1, \dots, a_d)$, $a_1, \dots, a_d \in \text{Mat}_m$, the set of roots of a polynomial $f(t) = \det(t^d - a_1 t^{d-1} + \dots + (-1)^d a_d)$. We will consider polynomials with generic coefficients only, so $\#S(a_1, \dots, a_d) = md$.

Proposition 1. *Let*

$$t^d - a_1 t^{d-1} + \dots + (-1)^d a_d = (t - b_1) \dots (t - b_d) \quad (4)$$

for generic matrices $a_1, \dots, a_d \in \text{Mat}_m$, then $S(b_i) \cap S(b_j) = \emptyset$ for $i \neq j$ and $S(b_1) \cup \dots \cup S(b_d) = S(a_1, \dots, a_d)$. For each decomposition $S(a_1, \dots, a_d) = A_1 \cup \dots \cup A_d$, such that $\#A_i = m$, $A_i \cap A_j = \emptyset$ ($i \neq j$) there exists a unique factorization (4) with $S(b_i) = A_i$.

Proof The first statement follows from the equation $\det(t^d - a_1 t^{d-1} + \dots + (-1)^d a_d) = \det(t - b_1) \dots \det(t - b_d)$.

On the other hand, if we know eigenvalues of b_1, \dots, b_d then we can calculate eigenvectors of them. For $\lambda \in S(b_d)$ the corresponding eigenvector is a vector v_λ , such that $(\lambda^d - a_1 \lambda^{d-1} + \dots + (-1)^d a_d) v_\lambda = 0$. If we know all eigenvectors of b_d , then we can calculate all eigenvectors of b_{d-1} similarly and so on. This implies the uniqueness. By our construction of b_1, \dots, b_d the determinants of the matrix polynomials in the right hand side and the left hand side of (4) have the same sets of roots. Moreover, for each root λ the operators represented by these matrix polynomials have the same kernel if we set $t = \lambda$. It implies that these polynomials are equal.

Proposition 2. *Let $a_1, a_2 \in \text{Mat}_m$ be generic matrices. Then there exists a unique pair of matrices $b_1, b_2 \in \text{Mat}_m$ such that $(t - a_1)(t - a_2) = (t - b_1)(t - b_2)$ and $S(b_1) = S(a_2), S(b_2) = S(a_1)$. We have $b_1 = a_1 + \Lambda^{-1}, b_2 = a_2 - \Lambda^{-1}$ where $a_2 \Lambda - \Lambda a_1 = 1$.*

Proof If $S(b_2) \cap S(a_2) \neq \emptyset$, then $\det(a_2 - b_2) = 0$, because a_2 and b_2 have a common eigenvector. Otherwise, we can put $\Lambda = (a_2 - b_2)^{-1}$.

Let $U = \text{Mat}_m$. From the propositions 1 and 2 it follows that the formula $\mu(a_1, a_2) = (b_1, b_2)$ gives a twisted transposition, where $b_1 + b_2 = a_1 + a_2$, $b_1 b_2 = a_1 a_2$, $S(b_1) = S(a_2), S(b_2) = S(a_1)$. We have $\mu(a_1, a_2) = (a_1 + \Lambda^{-1}, a_2 - \Lambda^{-1})$, where Λ is the solution of the linear matrix equation $a_2 \Lambda - \Lambda a_1 = 1$.

Let $\overline{U} = \overline{\text{Mat}_m}$ be the set of $m \times m$ matrices with different eigenvalues and fixed order of eigenvalues. The proposition 1 gives an action of the symmetric group S_{mN} on the space \overline{U}^N by birational automorphisms. By definition, for $\sigma \in S_{mN}$, $b_1, \dots, b_N \in \overline{U}$ we have $\sigma(b_1, \dots, b_N) = (b'_1, \dots, b'_N)$ where $(t - b_1) \dots (t - b_N) = (t - b'_1) \dots (t - b'_N)$ and $\overline{S}(b'_i) = \sigma \overline{S}(b_i)$, \overline{S} stands for the ordered set of eigenvalues.

This action is local in the following sense. The transposition $(i, i + 1)$ for $\alpha m < i < (\alpha + 1)m$ acts only inside the $\alpha + 1$ -th factor of \bar{U}^N and the transposition $(\alpha m, \alpha m + 1)$ acts only inside the product of the α -th and the $\alpha + 1$ -th factors. We have also the twisted transposition $\mu : \bar{U} \times \bar{U} \rightarrow \bar{U} \times \bar{U}$ in this case which is the action of the element $(1, m + 1)(2, m + 2) \dots (m - 1, 2m - 1) \in S_{2m}$.

Remark Let U be the set of matrix polynomials of the form $at + b$, where $a, b \in Mat_m, a = (a_{ij}), b = (b_{ij})$ and $a_{ij} = 0$ for $i < j, b_{ij} = 0$ for $i > j$. It is possible to define a twisted transposition μ such that for $\mu(f(t), g(t)) = (f_1(t), g_1(t))$ we have $f(t)g(t) = f_1(t)g_1(t)$, $\det f(t)$ and $\det g_1(t)$ have the same sets of roots and the first coefficients of $f(t)$ and $g_1(t)$ have the same diagonal elements. In [4] we found the solutions of the corresponding twisted Yang-Baxter relation (for $m = 2$), which is a generalization of the R -matrix from chiral Potts model.

§3. Set-theoretical solution from factorization of matrix θ -functions

Let $\Gamma \subset \mathbb{C}$ be a lattice generated by 1 and τ where $\text{Im}\tau > 0$. We have $\Gamma = \{\alpha + \beta\tau; \alpha, \beta \in \mathbb{Z}\}$. Let $\varepsilon \in \mathbb{C}$ be a primitive root of unity of degree m . Let $\gamma_1, \gamma_2 \in \text{Mat}_m$ be $m \times m$ matrices such that $\gamma_1^m = \gamma_2^m = 1, \gamma_2\gamma_1 = \varepsilon\gamma_1\gamma_2$. We have $\gamma_1 v_\alpha = \varepsilon^\alpha v_\alpha, \gamma_2 v_\alpha = v_{\alpha+1}$ in some basis $\{v_\alpha; \alpha \in \mathbb{Z}/m\mathbb{Z}\}$ of \mathbb{C}^m . Let us assume that $\{v_1, \dots, v_m\}$ is the standard basis of \mathbb{C}^m .

We denote by $M\Theta_{n,m,c}(\Gamma)$ for $n, m \in \mathbb{N}, c \in \mathbb{C}$ the space of everywhere holomorphic functions $f : \mathbb{C} \rightarrow \text{Mat}_m$, which satisfy the following equations:

$$\begin{aligned} f\left(z + \frac{1}{m}\right) &= \gamma_1^{-1} f(z) \gamma_1 \\ f\left(z + \frac{1}{m}\tau\right) &= e^{-2\pi i(mnz - c)} \gamma_2^{-1} f(z) \gamma_2 \end{aligned} \quad (5)$$

Proposition 3. $\dim M\Theta_{n,m,c}(\Gamma) = m^2 n$ and for each element $f \in M\Theta_{n,m,c}(\Gamma)$ the equation $\det f(z) = 0$ has exactly mn zeros modulo $\frac{1}{m}\Gamma$. The sum of these zeros is equal to $mc + \frac{mn}{2}$ modulo Γ .

Proof For $m = 1$ we have the usual θ -functions $\Theta_{n,c}(\Gamma) = M\Theta_{n,1,c}(\Gamma)$ and all these statements are well known in this case ([8]). One has a basis $\{\theta_\alpha(z); \alpha \in \mathbb{Z}/n\mathbb{Z}\}$ in the space $\Theta_{n,c}(\Gamma)$ such that $\theta_\alpha(z + \frac{1}{n}) = e^{2\pi i \frac{\alpha}{n}} \theta_\alpha(z), \theta_\alpha(z + \frac{1}{n}\tau) = e^{-2\pi i(z - \frac{n-1}{2n}\tau - \frac{1}{n}c)} \theta_{\alpha+1}(z)$ ([8]). From (5) it follows that $f(z + 1) = f(z)$ and $f(z + \tau) = e^{-2\pi i(m^2nz - c_1)} f(z)$ for some $c_1 \in \mathbb{C}$. So the matrix elements of $f(z)$ are θ -functions from the space $\Theta_{m^2n,c_1}(\Gamma)$. We have decomposition $f(z) = \sum_\alpha \varphi_\alpha \theta_\alpha(z)$, where $\varphi_\alpha \in \text{Mat}_m$ are constant matrices, $\{\theta_\alpha\}$ is a basis in the space $\Theta_{m^2n,c_1}(\Gamma)$. Substituting this decomposition in (6) one can calculate the dimension of the space $M\Theta_{n,m,c}(\Gamma)$. We have also $\det f(z + \frac{1}{m}) = \det f(z)$ and $\det f(z + \frac{1}{m}\tau) = e^{-2\pi i(m^2nz - mc)} \det f(z)$. From this follows the statement about zeros of the equation $\det f(z) = 0$.

Proposition 4. For generic complex numbers $\lambda_1, \dots, \lambda_{mn}$ such that $\lambda_1 + \dots + \lambda_{mn} \equiv mc + \frac{mn}{2} \pmod{\frac{1}{m}\Gamma}$ and nonzero vectors $v_1, \dots, v_{mn} \in \mathbb{C}^m$ there exists a unique up to proportionality element $f(z) \in M\Theta_{n,m,c}(\Gamma)$ such that $\det f(\lambda_\alpha) = 0, f(\lambda_\alpha)v_\alpha = 0$ for $1 \leq \alpha \leq mn$.

Proof Considering the decomposition $f(z) = \sum_\alpha \varphi_\alpha \theta_\alpha(z)$, one has the system of linear equations $\{\sum_\alpha \theta_\alpha(\lambda_\beta) \varphi_\alpha v_\beta = 0; \beta = 1, \dots, mn\}$ for matrix elements of $\{\varphi_\alpha\}$. One can see that this system defines $\{\varphi_\alpha\}$ uniquely up to proportionality for generic $\lambda_1, \dots, \lambda_{mn}, v_1, \dots, v_{mn}$.

We denote by $S(f)$ the set of zeros of the equation $\det f(z) = 0$ modulo $\frac{1}{m}\Gamma$.

Proposition 5. Assume that $f(z) \in M\Theta_{n,m,c}(\Gamma)$ is a generic element and we have a factorization $f(z) = f_1(z) \dots f_n(z)$, where $f_\alpha(z) \in M\Theta_{1,m,c_\alpha}(\Gamma), c_1 + \dots + c_n = c$. Then $S(f_\alpha) \cap S(f_\beta) = \emptyset$ for $\alpha \neq \beta$ and $S(f) = S(f_1) \cup \dots \cup S(f_n)$. For each decomposition $S(f) = A_1 \cup \dots \cup A_n$ such that $A_\alpha \cap A_\beta = \emptyset$ for $\alpha \neq \beta$ and $\#A_\alpha = m$

there exists a unique factorization $f(z) = f_1(z) \dots f_n(z)$ up to proportionality of f_α such that $S(f_\alpha) = A_\alpha$ for $\alpha = 1, \dots, n$.

Proof is similar to the proof of the proposition 1, we just change polynomials by θ -functions.

Let U_c be the projectivisation of the linear space $M\Theta_{1,m,c}(\Gamma)$ and $U = \bigcup_{c \in \mathbb{C}} U_c$. We have the following twisted transposition $\mu : U \times U \rightarrow U \times U$. By definition $\mu(f, g) = (f_1, g_1)$, where $f(z)g(z) = f_1(z)g_1(z)$ and $S(f_1) = S(g), S(g_1) = S(f)$.

Let \bar{U} be the set of elements f from U with a fixed order on $S(f)$. For $f \in \bar{U}$ let $\bar{S}(f)$ be the set $S(f)$ with corresponding order. We have a local action of the symmetric group S_{mN} on the space \bar{U}^N . By definition, for $\sigma \in S_{mN}$ we have $\sigma(f_1, \dots, f_N) = (f_1^\sigma, \dots, f_N^\sigma)$ where $f_1(z) \dots f_N(z) = f_1^\sigma(z) \dots f_N^\sigma(z)$ and $\bar{S}(f_\alpha^\sigma) = \sigma \bar{S}(f_\alpha)$ for $1 \leq \alpha \leq N$.

Remark It is possible to construct twisted R -matrices for this twisted transposition μ as intertwiners of tensor products of cyclic representations of the algebra of monodromy matrices for the elliptic Belavin R -matrix [5] at the point of finite order (see also [6]). It will be the subject of another paper.

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