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## The Two Ball Newton's Cradle

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Newton's cradle for two balls with Hertzian interactions is considered as a hybrid system, and this makes it possible to derive return maps for the motion between collisions in an exact form despite the fact that the three halves interaction law cannot be solved in closed form. The return maps depend on a constant whose value can only be determined numerically, but solutions can be written down explicitly in terms of this parameter, and we compare this with the results of simulations. The results are in fact independent of the details of the interaction potential.

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Newton's cradle is a paradigm for the treatment of Newtonian impulses. This executive toy consists of N balls (usually five) fixed to a frame as pendulums so that they can oscillate in one direction and when hanging in equilibrium under gravity they are just touching. If one of the end balls is set in motion it strikes the line and an impulse travels through the line and the ball at the other end lifts off, with the others stationary and the cycle continues. This is a standard theoretical narrative, but experiments show that what actually happens is considerably more complicated: all the balls move and the subsequent motion is heavily influenced by the break up of the line.

A more realistic model of Newton's cradle will involve either the interaction of the pendulums via the frame or a more detailed model of the short time interactions of the balls in collision. Following [1, 3, 4] we adopt the latter modification. One of the standard visco-elastic models of this interaction is a Hertzian three halves power law force, and the approach below applies to this and indeed any other potential force which satisfy some mild conditions. A second principle when faced with complicated behaviour is to consider the simplest case in some detail. Thus we consider the dynamics of a two ball Newton's cradle. This was analyzed in [4] by making simplifying assumptions about the interaction terms (essentially that the contact interaction is linear and gravity can be ignored), which makes it possible to see that the likely outcome of the model is slow modulation between a cradle like dynamics (with each ball approximately at rest during half a cycle) and a more symmetric collision and bounce in which both balls oscillate significantly during a half-period.

More complicated collisions between balls have also been considered recently [5], but the aim of this note is to show that a slightly more sophisticated analysis, considering the collisions and the motion when the balls are not in contact as defining a hybrid system, makes it possible to derive explicit return maps (if gravity is considered as a linear potential in the angle of the pendulums, i.e. in the small displacement limit) for the state of the system immediately after collisions. This return map has one free parameter which is determined by the details of the interaction potential and the initial conditions, but is otherwise completely determined by standard parameters of the system.

Choose one-dimensional coordinates,  $y_1$  and  $y_2$  for the centre of mass of the two equal balls labelled by 1 and 2 in the obvious way, with ball one to the left of ball two. In equilibrium the balls are separated by 2R, where R is the radius of the balls, so it is natural to write  $y_1 = x_1$  and  $y_2 = 2R + x_2$ , so  $x_i$  represents the displacement of ball i from its equilibrium position. The distance between the centres of mass is  $2R - (x_1 - x_2)$ , so the balls are in contact, and the interaction potential comes into play if  $x_1 - x_2 > 0$ .

If  $x_1 - x_2 < 0$  then the balls are not in contact and each behaves as a linearized pendulum, so

$$\ddot{x}_1 = -\omega^2 x, \quad \ddot{x}_2 = -\omega^2 x_2$$
 (1)

where  $\omega^2 = mg/\ell$ , where m is the mass of each ball, g the acceleration due to gravity, and  $\ell$  the vertical length of the pendulum wires.

If  $x_1 - x_2 > 0$  then the balls are in contact then there is an elastic force (we ignore dissipation here) in addition to the gravitational force and

$$\ddot{x}_1 = -\omega^2 x - V'(x_1 - x_2), \quad \ddot{x}_2 = -\omega^2 x_2 + V'(x_1 - x_2)$$
(2)

where the potential V models the visco-elastic forces, so for the Hertzian case

$$V(q) = \frac{K}{1+\alpha} q^{1+\alpha}.$$
 (3)

and in the simulations below we use the standard Hertzian force,  $\alpha = \frac{3}{2}$ . In what follows we can treat more general potentials having the properties

$$V'(0) = 0, \quad V'(q) > 0 \text{ if } q > 0$$
 (4)

and

$$V(q) \to \infty \text{ as } q \to \infty.$$
 (5)

It is natural to work in centre of mass (times two) and relative position coordinates

$$Q = x_1 + x_2, \quad q = x_1 - x_2 \tag{6}$$

in terms of which

$$\ddot{Q} = -\omega^2 Q \tag{7}$$

independent of the sign of q and

$$\ddot{q} = \begin{cases} -\omega^2 q & \text{if } q < 0 \\ -\omega^2 q - V'(q) & \text{if } q > 0 \end{cases}$$
 (8)

Note that if q < 0 then the relative position equation is Hamiltonian with

$$H = \frac{1}{2}p^2 + U(q), \quad U(q) = \frac{1}{2}\omega^2 q^2 + V(q)$$
 (9)

and U satisfies the same conditions (4) and (5) as V.

To describe solutions of (7) and (8) we will assume that the values of Q and q are known immediately after the  $n^{th}$  collision, together with the corresponding velocities, and derive a recursion equation for their values immediately after the following collision. Suppose that immediately after the  $n^{th}$  collision,  $t=t_n$  and

$$Q = Q_n, \quad \dot{Q} = P_n, \quad q = 0, \quad \dot{q} = p_n < 0$$
 (10)

(noting that at the beginning and end of a collision interaction q = 0, with  $\dot{q} > 0$  at the beginning of the collision and  $\dot{q} < 0$  at the end of the collision). Since  $\dot{q} < 0$ , q begins to decrease and whilst q < 0 the evolution is defined by (7) and the first of equations (8) and so

$$Q = Q_n \cos \omega (t - t_n) + \frac{P_n}{\omega} \sin \omega (t - t_n)$$

$$P = -\omega Q_n \sin \omega (t - t_n) + P_n \cos \omega (t - t_n)$$

$$q = -\frac{p_n}{\omega} \sin \omega (t - t_n)$$

$$p = p_n \cos \omega (t - t_n)$$
(11)

and these remain valid until the first time  $t'_n > t_n$  such that  $q(t'_n) = 0$ . Due to the simple form of q this implies

$$t_n' = t_n + \frac{\pi}{\omega}$$

at which value the cosine is -1 and so  $p(t'_n) = -p_n > 0$  and the corresponding values of Q and P are  $-Q_n$  and  $-P_n$  respectively. Since p>0 q increases and the (q,p) dynamics is determined by the Hamiltonian system with Hamiltonian (9). Since U satisfies the potential conditions (4) and (5) the solutions are symmetric under reflections  $p \to -p$  and cannot tend to a stationary point in q>0 (as U'(q)>0) nor to infinity (as  $U(q)\to\infty$  as  $q\to\infty$ ), see e.g. [2]. Hence there exists time  $\tau>0$  such that q=0 again for the first time

and  $p = -(-p_n) = p_n < 0$ . Thus after this time  $\tau$ ,  $t = t_{n+1} = t_n + \frac{\pi}{\omega} + \tau$  and

$$Q = Q_{n+1} = -Q_n \cos \omega \tau - \frac{P_n}{\omega} \sin \omega \tau$$

$$P = P_{n+1} = \omega Q_n \sin \omega \tau - P_n \cos \omega \tau$$

$$q = 0$$

$$p = p_{n+1} = p_n < 0.$$
(12)

Since p does not change from one collision to another, the same  $\tau$  is used in each collision, and this is determined by both  $p_n$  and the details of the potential U, but once fixed it doesn't change from collision to collision.

It is not hard to solve this difference equation. In terms of the complex variable  $Z_n = \omega Q_n + i P_n$  the first two equations of (12) are

$$Z_{n+1} = -Z_n e^{-i\omega\tau}$$
, so  $Z_n = (-1)^n Z_0 e^{-in\omega\tau}$  (13)

or

$$Q_{n} = (-1)^{n} Q_{0} \cos(n\omega\tau) + (-1)^{n} \frac{P_{0}}{\omega} \sin(n\omega\tau)$$

$$P_{n} = -(-1)^{n} \omega Q_{0} \sin(n\omega\tau) + (-1)^{n} P_{0} \cos(n\omega\tau)$$

$$p_{n} = p_{0} < 0$$
(14)

or, in terms of the original variables  $x_1$  and  $x_2$  using (6) and the fact that q = 0 just after a collision

$$x_1(t_n) = x_2(t_n) = \frac{1}{2}Q_n$$

$$\dot{x}_1(t_n) = \frac{1}{2}(P_n + p_0)$$

$$\dot{x}_2(t_n) = \frac{1}{2}(P_n - p_0)$$
(15)

Equations (15) provide a general solution to the two ball Newton's cradle, but the classic Newton's cradle solution would correspond to initial conditions after the first collision (having set ball one in motion first) of

$$x_1 = x_2 = \dot{x}_2 = 0, \quad \dot{x}_2 = v > 0$$

and this translates to

$$Q_0 = q_0 = 0, \quad P_0 = v, \quad p_0 = -v.$$
 (16)

Substituting these values into (14) and (15) gives

$$x_1(t_n) = x_2(t_n) = \frac{v}{2\omega} (-1)^n \sin(n\omega\tau) 
\dot{x}_1(t_n) = -\frac{v}{2} (1 - (-1)^n) \cos(n\omega\tau) 
\dot{x}_2(t_n) = \frac{v}{2} (1 + (-1)^n) \cos(n\omega\tau).$$
(17)

On the reasonable assumption that  $\omega \tau$  is small (as the contact time  $\tau$  is small) these solutions have an interesting interpretation. If  $n\omega \tau \approx k\pi$  then the behaviour is like the classically described Newton cradle: there is negligible oscillation from the vertical of the collision point, and immediately after the collision one ball is at rest and the other moves off, with the balls interchanging roles at each collision. On the other hand, if  $n\omega \tau \approx (2k+1)\frac{\pi}{2}$  then the position of the collision oscillates and after the collision both balls recoil back and swing with approximately equal initial speeds.

Thus there is a slow periodic oscillation having approximately  $\pi/(\omega\tau)$  collisions (since there is no discernable difference between the cases  $\cos(n\omega\tau) \approx 1$  and  $\cos(n\omega\tau) \approx -1$  apart from an odd/even n exchange, we consider the period of these oscillations to be half the dull period of oscillation of the trigonometric functions) each taking time  $\frac{\pi}{\omega} + \tau$ , so the total time of the full period is

$$\frac{\pi}{\omega^2 \tau} \left( \pi + \omega \tau \right)$$

representing a modulation of frequency

$$\frac{2\omega^2\tau}{\pi+\omega\tau}$$
.

This is the same expression as derived by [4], but crucially their derivation relies on an assumption of constant small contact time (which is proved above in the general case) with the collision being modelled by a potential  $\frac{1}{2}kq^2$  in q > 0, which also means that they associate a frequency and spring constant to the collision interaction.

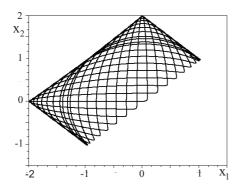


FIG. 1: Trajectory of a solution projected onto the  $(x_1, x_2)$  plane. Initial conditions and paramters are given in the text.

To investigate this behaviour numerically we have chosen units with

$$\omega^2 = 1$$
,  $K = 5000$ ,  $\alpha = \frac{3}{2}$ ,

where the value of K (the Hertzian constant, cf. (3)) is chosen so that the contact time is small enough to make the slow drift described above observable. The equations were integrated using a fixed step (h=0.00006) third order Verlet method that preserves the symplectic structure of solutions. A sample trajectory projected onto the  $(x_1, x_2)$  plane is shown in Figure 1, which has initial conditions  $x_1(0) = -2$ , with  $\dot{x}_1(0) = x_2(0) = \dot{x}_2(0) = 0$ , integrated for time equal to 60 units. A classic Newton's cradle solution would move close to the  $x_1$ -axis in  $x_1 < 0$  and then up the  $x_2$ -axis and return. As shown in Figure 1 the actual behaviour is a drift out to a region with both  $x_1$  and  $x_2$  large, and (though not shown) if the solution had been extended it would have returned close to the

ideal Newton's cradle solution. The non-cradle motion  $(\cos(n\omega\tau)$  close to zero in the terminology of (17)) moves between a collision in  $x_1>0$  through  $x_2$  large and  $x_1$  large and negative, back to a collision in  $x_1<0$ .

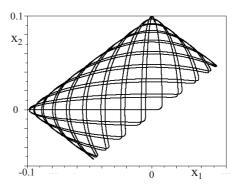


FIG. 2: Trajectory of a solution to the model with nonlinear terms in the pendulum equations projected onto the  $(x_1, x_2)$  plane with . The initial condition has small amplitude  $(x_1 = -0.1$ , see text)and parameters are otherwise the same as in Figure 1.

Of course, the model described here is for small displacement, and for larger displacements both the nonlinear nature of the gravitational force on the angle of displacement and the effect of geometry due to the balls no longer striking each other symmetrically due to the offset at the hanging points would need to be modelled. However, the advantage of having a simple model that can be accurately analyzed makes the approach here worth taking into account. The addition of nonlinearity in the pendulum does change the dynamics when the angle is large. Figures 2 and 3 shows the results of simulations of the equations (1) and (2) with the small amplitude  $\omega^2 x$ approximations replaced by  $\omega^2 \sin x$  and the same values of the other parameters. Figure 2 has initial conditions  $x_1 = -0.1$  with  $\dot{x}_1 = x_2 = \dot{x}_2 = 0$ , and the result is similar in nature to the simulation of the linear system, as would be expected from the small amplitude of the initial condition. Interestingly, Figure 3 shows a solution with initial condition  $x_1 = -2$  and the other variables all zero. In this case the solution is closer to the ideal Newton's cradle solution than the linear approximation studied above. A fuller investigation of this case might prove worthwhile.

Another feature of the dynamics of the two ball Newton's cradle that can be described using this framework is the effect of dissipation. If we assume that most of the dissipation is in the collisions, so that these are inelastic rather than elastic, then the natural model would be to replace the conclusion that p is simply reversed during a collision to a reversal with a reduction in the modulus. In this case, the inelastic collisions would lead eventually to p = 0 with q = 0, and hence the asymptotic dynamics

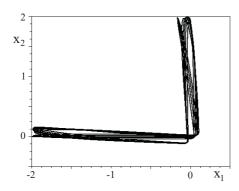


FIG. 3: As Figure 2 except with initial conditions and parameters the same as in Figure 1.

has both balls touching, with the pendulums swinging in phase. This of course would then decay due to friction.

Using a commercial cradle (e.g. by Zeon Tech, endorsed by the Science Museum, UK) it is easy to confirm the asymptotic in-phase oscillation and also to observe solutions corresponding to the motion described by Figures 1 and 2 provided the base is kept clamped (a heavy hand will do!). In the latter case the key observation is that the every other collision moves from being in one phase of the swing to the other on a slow timescale, passing close to an ideal cradle solution in the process. (In other words the even collisions will occur in  $x_1 > 0$  for a while, and then in  $x_1 < 0$  and so on; this can be observed by viewing a film of the interaction in slow motion.) However, the system does not spend long close to the ideal cradle motion, but passes through it fairly rapidly and so it is hard to detect. This would, of course, also be true of the motion depicted in the figures.

The analysis reported here uses the hybrid nature of

the collisions to derive return maps that can be solved explicitly, and through this a very accurate description of the motion is possible. The analysis is not hard, but it is revealing, and I have been unable to find an equivalent analysis in the literature. Although this deals with a situation that is considerably simpler than the many ball Newton's cradle, it shows that the finer details of the interactions between the ball are unimportant to the outcome of any experiment except insofar as they determine  $\tau$  for a given  $p_0$ . This suggests that an experiments with long pendulums could be made to determine the dependence of  $\tau$  and  $p_0$ , and then this in turn could be fitted to different powers of  $\alpha$  in the Hertzian model as a means of assessing the effective  $\alpha$  independent of the classical Hertzian force arguments.

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